

07-30-01

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# UTILITY PATENT APPLICATION TRANSMITTAL

Our Docket No.: **P430.12-0002**Date: **July 27, 2001**First Named Inventor: **Laurence Lee et al.**Title: **APPARATUS AND PROCESS FOR COATING PARTICLES**Express Mail No.: **EL800725580US**

## APPLICATION ELEMENTS

ADDRESS TO:

**Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231**

1. ☒ Fee Calculation Sheet  
(Submit an original and a duplicate for fee processing)

2. ☒ Applicant claims small entity status

3. ☒ Specification Total Pages **[20]**

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed. Sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims
- Abstract of the Disclosure

4. ☒ Drawings (35 U.S.C. 113) Total Sheets **[2]**

5. ☒ Oath or Declaration Total Pages **[3]**

- a. ☐ Newly Executed (original or copy)  
b. ☒ Copy from a prior application (37 C.F.R. 1.63(d) - for continuation/divisional with Box 18 completed)

**[Mark Box 5 below]**

- I. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b)

6. ☒ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission (*If applicable, all necessary*)
- a. ☐ Computer Readable Copy (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies; or
- ii. ☐ Paper
- c. ☐ Statements verifying identify of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. 3.73(b) Submission
- ☐ Power of Attorney
11. ☐ English Translation Document (*if applicable*)
12. ☐ Information Disclosure Statement with copies of Citations as necessary
13. ☒ Preliminary Amendment Total Pages **[8]**
14. ☒ Return Receipt Postcard (*Should be specifically itemized*)
15. ☐ Certified Copy of Priority document(s) (*If foreign priority is claimed*)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). (Nonpublication Request)
17. ☐ Other

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation

☒ Division

☐ Continuation-in-part (CIP) of prior Application No. **09/461,525**

Prior Application Information: Examiner **J. Lorengo** Group Art Unit **1734**

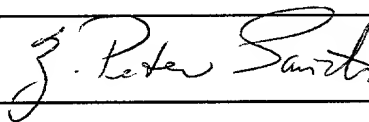
For CONTINUATION OR DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

## 19. CORRESPONDENCE ADDRESS

ATTY NAME  
AND REG. NO.

**Z. Peter Sawicki;  
30,214**

SIGNATURE:



ADDRESS

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The PTO did not receive the following  
listed item(s) Postcard

# FEE TRANSMITTAL

Complete if Known

Application No.

Filing Date

First Named Inventor

Laurence Lee et al.

Group Art Unit

Examiner Name

Total Amount of Payment \$355.00

Atty. Docket Number

P430.12-0002

## METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account No.11-0982. Deposit Account Name: Kinney & Lange, P A.

2. ☒ Check Enclosed

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description  |
|-----------------------|-----------------------|-----------------------|-----------------------|--|
| 101                   | 710                   | 201                   | 355                   | <input checked="" type="checkbox"/> Utility Filing Fee |
| 106                   | 320                   | 206                   | 160                   | <input type="checkbox"/> Design Filing Fee             |
| 108                   | 710                   | 208                   | 355                   | <input type="checkbox"/> Reissue Filing Fee            |
| 114                   | 150                   | 214                   | 75                    | <input type="checkbox"/> Prov Filing Fee               |

Subtotal (1) \$355.00

### 2. EXTRA CLAIM FEES

|                           | Number Claims | Prior** | Extra | Fee from Below | Fee Paid |
|---------------------------|---------------|---------|-------|----------------|----------|
| Total                     | 12            | 20      | = 0 x | 9 = 0          |          |
| Indep.                    | 3             | 3       | = 0 x | 40 = 0         |          |
| Multiple Dependent Claims |               |         | = 0   | = 0            |          |

\*\*Insert 3 and 20, or number previously paid if greater, Reissue see below

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Description   |
|-----------------------|-----------------------|-----------------------|-----------------------|---|
| 103                   | 18                    | 203                   | 9                     | Claims in excess of 20                                  |
| 102                   | 80                    | 202                   | 40                    | Independent claims in excess of 3                       |
| 104                   | 270                   | 204                   | 135                   | Multiple Dependent Claim                                |
| 109                   | 80                    | 209                   | 40                    | Reissue Independent Claims Over Original Patent         |
| 110                   | 18                    | 210                   | 9                     | Reissue claims in excess of 20 and over original patent |

Subtotal (2) \$0

## FEE CALCULATION (Continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description  | Fee paid |
|-----------------------|-----------------------|-----------------------|-----------------------|--|----------|
| 105                   | 130                   | 205                   | 65                    | Surcharge - Late filing fee or oath  | *        |
| 127                   | 50                    | 227                   | 25                    | Surcharge - late provisional filing fee or cover sheet                     | *        |
| 139                   | 130                   | 139                   | 130                   | Non-English specification  | *        |
| 147                   | 2,520                 | 147                   | 2,520                 | For Filing a Request for Reexamination                                     | *        |
| 115                   | 110                   | 215                   | 55                    | Extension for reply within first month                                     | *        |
| 116                   | 390                   | 216                   | 195                   | Extension for reply within second month                                    | *        |
| 117                   | 890                   | 217                   | 445                   | Extension for reply within third month                                     | *        |
| 118                   | 1,390                 | 218                   | 695                   | Extension for reply within fourth month                                    | *        |
| 128                   | 1,890                 | 280                   | 945                   | Extension for reply within fifth month                                     | *        |
| 120                   | 310                   | 220                   | 155                   | Filing a brief in support of an appeal                                     | *        |
| 121                   | 270                   | 221                   | 135                   | Request for oral hearing   | *        |
| 148                   | 110                   | 248                   | 55                    | Terminal Disclaimer Fee  | *        |
| 140                   | 110                   | 240                   | 55                    | Petition to revive - unavoidable   | *        |
| 141                   | 1,240                 | 241                   | 620                   | Petition to revive - unintentional   | *        |
| 142                   | 1,270                 | 242                   | 650                   | Utility/Reissue issue fee (inc advance copies)                             | *        |
| 143                   | 470                   | 243                   | 250                   | Design issue fee (inc advance copies)                                      | *        |
| 122                   | 130                   | 122                   | 130                   | Petitions to the Commissioner  | *        |
| 123                   | 50                    | 123                   | 50                    | Petitions related to provisional applications                              | *        |
| 126                   | 180                   | 126                   | 180                   | Submission of Information Disclosure Statement                             | *        |
| 581                   | 40                    | 581                   | 40                    | Recording each patent assignment per property (times number of properties) | *        |
| 179                   | 710                   | 279                   | 355                   | Request for Continued Examination (RCE)                                    | *        |

Other fee (specify) \_\_\_\_\_

Subtotal (3) \$0

Signature Z. Peter Sawicki Reg. No. 30,214

Z. Peter Sawicki

Date July 27, 2001 Deposit Account No. 11-0982